

BOARD OF TRUSTEES AND LIBRARY EMPLOYEE CONFLICT OF INTEREST & ETHICS POLICY

INTRODUCTION

Red Hook Public Library is dedicated to maintaining excellence in providing library services to the public. The issue of public trust demands that Library Trustees and employees act with integrity in accordance with the highest ethical standards.

The Board of Trustees shall act to shape the future of the Library. In doing so, the Board of Trustees shall oversee the management of the Library's assets, including its collections, programs, facilities, financial assets and staff, to ensure they are effectively protected, maintained and developed in support of the Library's mission, objectives and purposes as established by the Library's Charter, Bylaws and Mission Statement.

COMPLIANCE WITH LAWS AND OTHER ETHICAL STANDARDS

Library Trustees shall comply with the letter and spirit of all applicable local, state and federal laws and international conventions, the ethical standards set forth in this Ethics and Conflicts Policy for Trustees, and any additional ethical standards set forth by organizations relevant to the particular profession(s) of each Trustee. In case of any conflict or inconsistency between this Policy and any other relevant ethical standards, Trustees and should comply with the most stringent provision in order to ensure the highest level of integrity.

FIDUCIARY DUTIES

Trustees have the fiduciary duties of care, loyalty and obedience to the Library. The duty of care requires Trustees to exercise the care that an ordinarily prudent person would exercise in a like position and under similar circumstances. The duty of loyalty requires Trustees to faithfully pursue the interests of the Library rather than their own financial or other interests or those of another person or organization. The duty of obedience requires Trustees to act with fidelity to the Library's mission.

As such, Trustees shall be attentive to the Library's activities and finances. Trustees shall oversee the way in which the Library's assets and affairs are managed. This includes attending and participating in meetings, reading and understanding financial statements, asking questions and exercising sound judgment. Breach of any fiduciary duty by a Trustee may subject the Trustee to financial or legal consequences and may result in removal from his or her position as a Trustee.

Trustees should keep in mind that any action taken by them as individuals may reflect on, or be attributed to, the Library. No Trustee or employee shall misuse the Library's name, reputation, property or services, nor shall any Trustee or employee compromise the Library's goodwill.

CONFLICTS OF INTEREST

The Library is committed to transparency and openness in its operations.

A Trustee or employee has a conflict of interest when the Trustee or employee – or any member of his or her family directly or indirectly:

- Has any personal, business or other interest or affiliation that could be construed as being Library-related, such as (but not limited to) serving as a trustee, director, employee or partner of, or owning greater than 5% of the voting stock or controlling interest in any organization that does business or wished to do business with the Library, or
- Receives a personal, business or other benefit as a result of the Trustee's or employee's affiliation with the library.

AVOIDANCE OF CONFLICT OF INTEREST

Each Trustee and employee shall exercise care to ensure that no harm to the Library's interests results from a conflict of interest between the Library's interests and any personal, business or other interests of the Trustee or any member of his or her Family. Trustees and employees should avoid situations in which a conflict of interest, or the appearance of a conflict of interest, could arise.

DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST

If any Trustee, employee or any member of their Family has, or reasonably expects to have, interests or affiliations that could be construed as creating a conflict or the appearance of a conflict with the interests of the Library, the Trustee or employee shall promptly inform the President of the Board, who shall promptly inform the entire Board of Trustees.

Any Trustee aware of a personal conflict of interest regarding a matter coming before the Board shall bring this to the attention of the Board. The President of the Board shall rule as to whether the declaration is a conflict. If a conflict exists, the Trustee shall not vote on the matter and shall excuse him/herself from any discussion regarding the matter by leaving the room, and shall not be counted in determining the quorum if that has not already been established for the meeting. These points shall be recorded in the Minutes of such meetings.

A Trustee or employee shall not hire or vote for the hiring of any person related by blood or marriage as an employee of the library when the compensation of such employee is to be paid from public funds.

When a Board member perceives that another Trustee has a conflict of interest that has not been declared, the Board member may request the President to rule.

In the event that the President has a conflict or perceived conflict the Vice President will fulfill the role of investigating a ruling on the conflict.

Any conflict of interest brought to the attention of the Board shall be dealt with by the Board in accordance with this policy and applicable law.

EVALUATION OF POTENTIAL CONFLICT OF INTEREST

The President of the Board shall review the information relating to any potential conflict of interest and shall take one of the following actions as they deem appropriate:

- finding that no conflict or potential conflict exists;
- finding that a conflict or potential conflict exists and that the situation resulting in such conflict should cease or not be permitted to proceed; or
- referring the actual or potential conflict of interest to General Counsel for consideration.

In all cases, the decision and/or recommendation shall be recorded in Library minutes.

VIOLATION OF POLICY:

- If the Board has reasonable cause to believe a Trustee or employee has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose;
- If, after hearing the Trustee's or employee's response and after making further investigation as warranted by the circumstances, the Board determines if that person has failed to disclose an actual or possible conflict of interest;
- Appropriate disciplinary and corrective action follows.

LIBRARY RESOURCES

Trustees and employees shall use Library resources for Library business only and not for personal gain or at the expense of patrons provided, however, that they may use Library resources in the same manner as any member of the general public. Library resources include, but are not limited to, Library collections, supplies, equipment, staff and premises.

CONFIDENTIAL INFORMATION

Unless otherwise required by law, Trustees and employees shall not disclose confidential information obtained in the course of their duties as Trustees or employees. Confidential information is any information that is not generally available to the public. The obligation to keep such information confidential shall continue after the cessation of a Trustee's term on the Board of Trustees or the employee's employment at the Library.

TRUSTEE-STAFF RELATIONSHIP

Trustees should not use their position to obtain unreasonable or excessive services or expertise from Library staff. Neither Trustees nor any member of a Trustee's family shall serve as paid employees of the Library unless approved in advance by the Board.

GIFTS

Trustees and employees shall avoid activity that could be construed as illegal, improper or corrupt. In order to avoid the appearance of impropriety, Trustees, employees, and their family members may not knowingly accept, in connection with their official relationship with the Library or their performance of any duty as a Trustee, gifts with a value of \$100 or more from any one source in a calendar year (including a discount or special price on personal purchases) from any individual or organization that does business with, or that wishes to do business with, the Library.

INTERPRETATION

This Policy cannot describe all potential conflicts of interest or ethical issues that may arise, and its application may be uncertain at times. Trustees and employees should exercise the highest standards of ethical judgment and err on the side of caution. Trustees and employees are encouraged to consult with the President of the Board or Library Director if they have any questions as to the applicability of this Policy to a particular situation.

STATEMENTS AND DOCUMENTATION:

When beginning formal association with the Library, each Director, Trustee, and employee shall sign a statement which affirms such person:

- Has received a copy of the conflicts of interest policy;
- Has read and understands the policy;
- Has agreed to comply with the policy;
- Understands the Library is charitable and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax exempt purposes.

STATEMENT OF ASSOCIATIONS

This is to certify that I, as a Trustee or employee of Red Hook Public Library:

- I have received a copy of the Library’s Ethics and Conflicts Policy for Trustees and Employees.
- I have read and understood the Policy.
- I agree to comply with the provisions of the Policy.
- If I or any member of my family has, or reasonably expects to have, now or in the future, any interests or affiliations that could be construed as creating a conflict or the appearance of a conflict with the interests of the library, I shall promptly inform the President of the Board of Trustees.
- I shall not vote on, approve or recommend any transaction in which I or any member of my family has any actual or potential conflict of interest. If I am present at a meeting of the Board, I shall leave the meeting during any discussion or vote relating to such matter.

Any exceptions to the above are stated on the reverse of this sheet with a full description of the transactions, whether direct or indirect, which I have (or have had during the past year) with persons or organizations having transactions with Red Hook Public Library.

Signature: _____ Date: _____

Printed name: _____

Red Hook Public Library position:
